I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

The

0 + 12 Parted: 7/21/08

Signature:

Valerie J. Sarpsily)

Docket No.: ZIPH-009-102

(PATENT)

JUL 2 3 2008

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Nickel et al.

Application No.: 10/686,809

Confirmation No.: 8786

Filed: October 17, 2003

Art Unit: 1614

For:

INDOLYL-3-GLYOXYLIC ACID

**DERIVATIVES HAVING** 

THERAPEUTICALLY VALUABLE

**PROPERTIES** 

Examiner: J. D. Anderson

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (SIDS)

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Supplemental Information Disclosure Statement is filed more than three months after the U.S. filing date, OR more than three months after the date of entry of the national stage of a PCT application, AND after the mailing date of the first Office Action on the merits, whichever occurs first, but before the mailing date of a Final Office Action or Notice of Allowance (37 CFR 1.97(c)).

07/23/2008 HVUONG1 00000004 181945 10686809

01 FC:1806

180.00 DA

Application No.: 10/686,809 Docket No.: ZIPH-009-102

In accordance with 37 CFR 1.98(a)(2)(ii), Applicant has not submitted copies of U.S. patents and U.S. patent applications. Applicant submits herewith copies of non-patent literature documents in accordance with 37 CFR 1.98(a)(2).

In accordance with 37 CFR 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Supplemental Information Disclosure Statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Supplemental Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

Please charge our Deposit Account No. 18-1945 in the amount of \$180.00 covering the fee set forth in 37 CFR 1.17(p). The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 18-1945, under Order No. ZIPH-009-102. A duplicate copy of this paper is enclosed.

Dated: July 21, 2008

Respectfully submitted,

David P. Halstead, J.D., Ph.D. Registration No.: 44,735

ROPES & GRAY LLP

One International Place

Boston, Massachusetts 02110

(617) 951-7000

(617) 951-7050 (Fax)

Attorneys/Agents For Applicant

PTO/SB/92 (01-08) Approved for use through 06/30/2008. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE aperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

plication No. (if known): 10/686,809

Attorney Docket No.: ZIPH-009-102

# Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

> MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

on July 21, 2008 Date Valerie J. Sarosky Typed or printed name of person signing Certificate (617) 854-2087 Registration Number, if applicable Telephone Number Note: Each paper must have its own certificate of mailing, or this certificate must identify

each submitted paper.

Fee Transmittal (1 page) (w/copy) Supplemental Information Disclosure Statement (2 pages) (w/copy) Form PTO/SB/08a/b (1 page) Copies of References (4) (CF-CI)

PTO/SB/17 (10-07)
Approved for use through 06/30/2010. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number.

Complete if Known Complete if Known

Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).				Application Number 10/686,809				
FEE TRANSMITTAL						October 17, 2003		
						Bernd Nickel		
For FY 2008				Examiner Name J. D. Anderson				
X Applicant claims small entity status. See 37 CFR 1.27				Art Unit		614		
TOTAL AMOUNT OF PAYMENT (\$) 180.00			Attorney Docket No. ZIPH-009-102					
METHOD OF PAYMENT	(check all	that apply)				····		
Check Credit Ca								
x Deposit Account Deposi	t Account Num	aber: 18-	1945	Deposit	Account Name	Ropes	& Gray I	LP
For the above-identif	ied deposit	account, the D	irector is	hereby authorize	ed to: (chec	k all that apply)		
x Charge fee(s) i						icated below, ex	cept for t	he filing fee
Charge any add fee(s) under 37			ments o	f x Credit	any overpa	yments		
FEE CALCULATION								
1. BASIC FILING, SEARCH,								
	FILIN	IG FEES Small Entity	SE	ARCH FEES Small Entity	EXAMIN	ATION FEES Small Entity		
Application Type	Fee (\$)	Fee (\$)	<u>Fee (\$</u>		Fee (\$)	Fee (\$)	Fees	Paid (\$)
Utility	310	155	510	255	210	105		
Design	210	105	100	50	130	65		
Plant	210	105	310	155	160	80		
Reissue	310	155	510	255	620	310		
Provisional	210	105	0	0	0	0		
2. EXCESS CLAIM FEES							F (6)	Small Entity
Fee Description	D. '						Fee (\$)	Fee (\$)
Each claim over 20 (including Reissues) 50 25 Each independent claim over 3 (including Reissues) 210 105								
Multiple dependent claims	3 (menuar	ng Reissues)					370	185
				Mı	Itiple Depende			
Total Claims Extra Claims Fee (\$) Fee F				47			ee Paid (	
HP = highest number of total claim								
Indep. Claims								
- 3 = X = HP = highest number of independent claims paid for, if greater than 3.								
3. APPLICATION SIZE FEE								
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$260 (\$130 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).								
Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)								
- 100 = /50 = (round up to a whole number) x =								
4. OTHER FEE(S)  Non-English Specification \$130 for (no small entity discount)								
Non-English Specification, \$130 fee (no small entity discount)  Other (e.g., late filing surcharge): 1806 Submission of an Information Disclosure Statement 180.00								
SUBMITTED BY								
Signature Signature	852			Registration No.	44,735	Telephone	(617) 95	1-7615
Name (Print/Type) David P. H	lalstead	I.D., Ph.D.		(Attorney/Agent)		Date	July 21	
							,	

I hereby certify that this paper (along with	any paper referred to as being	attached or enclosed) is b	eing deposited with the U.S. Postal Service on
the date shown below with sufficient posta Box 1450, Alexandria, VA 22313-1450.	• 1	. //	Amendment, Commissioner for Patents, P.O.
7 /a lac-	1/20	1 - anost	
Dated: 1/0//08	Signature: Valle	114 Kush	(Valerie J. Sarosky)



Complete if Known

PTO/SB/17 (10-07)
Approved for use through 06/30/2010. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004.			- 1	40/000 000					
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).			<u> </u>	Application Number 10/686,809					
FEE TRANSMITTAL						October 17, 20			
For FY 2008			-	irst Named Inve					
FUI F 1 2000			<u> </u>	Examiner Name J. D. Anderso			1		
X Applicant claims small entity status. See 37 CFR 1.27				Art Unit 1614					
TOTAL AMOUNT OF PAYMENT (\$) 180.00				Attorney Docket No. ZIPH-009-102					
METHOD OF PAYMENT	(check all	that apply)							
Check Credit Card Money Order None Other (please identify):									
X Deposit Account Depos	sit Account Num	ber. 18-194	15	Deposit A	ccount Nan	ne: Ropes	& Gray I	LP	
For the above-identi	fied deposit	account, the Direct	tor is h	ereby authorize	d to: (che	eck all that apply)			
x Charge fee(s)	indicated be	elow		Charge	e fee(s) ir	ndicated below, ex	xcept for t	he filing fee	
X Charge any adfee(s) under 3		(s) or underpaymei and 1.17	nts of	x Credit	any over	payments			
FEE CALCULATION									
1. BASIC FILING, SEARCH	-								
	FILIN	IG FEES	SEAF	RCH FEES	EXAM	NATION FEES			
Application Type	Fee (\$)	Small Entity Fee (\$) Fe	e (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fees	Paid (\$)	
Utility	310	155	510	255	210	105			
Design	210	105	100	50	130	65			
Plant	210	105	310	155	160	80			
Reissue	310	155	510	255	620	310			
Provisional	210	105	0	0	0	0			
2. EXCESS CLAIM FEES Small Entity									
Fee Description	<b>5</b> .						Fee (\$)	Fee (\$)	
Each claim over 20 (includi							50	25	
,					105 185				
Multiple dependent claims		a. I	n-	: 4 (6)		fultiple Depends			
Total Claims Extra C			ee Pa	ia (\$)	-	Multiple Dependent Claims  Fee Paid (\$)			
- 20 = x = Fee (\$) Fee Paid (\$)  HP = highest number of total claims paid for, if greater than 20.				<b>₹1</b>					
_			ee Pa	id (\$)				<del></del>	
	Indep. Claims Extra Claims Fee (\$) Fee Paid (\$)								
HP = highest number of independent claims paid for, if greater than 3.									
3. APPLICATION SIZE FEE									
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer									
listings under 37 CFR 1.52(e)), the application size fee due is \$260 (\$130 for small entity) for each additional 50									
sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).  Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)									
Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)  - 100 = /50 = (round up to a whole number) x =									
4. OTHER FEE(S) Fees Paid (\$)									
Non-English Specification, \$130 fee (no small entity discount)									
Other (e.g., late filing surcharge): 1806 Submission of an Information Disclosure Statement 180.00				80.00					
SUBMITTED BY									
Signature	957		Registration No. (Attorney/Agent) 44,735			Telephone	(617) 951-7615		
Name (Print/Type) David P. I				Date	July 21	, 2008			
	- " "		- ::-						

I hereby certify that this paper (along with	any paper referred to as bein	g attached or enclosed) is b	being deposited with the U.S. Postal Service on
the date shown below with sufficient post	age as First Class 🏚 ail, in an	envelope addressed to: MS	Amendment, Commissioner for Patents, P.O.
Box 1450, Alexandria, VA 22313-1450.	1, 0		
Dated: 7/2/108	Signature: Valle	1 - Grash	(Valerie J. Sarosky)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

COPY

\_Dated:

7/21/08

Signature:

Valerie J. Sarps (y)

Docket No.: ZIPH-009-102 (PATENT)

JUL 2 3 2008

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of:

Nickel et al.

Application No.: 10/686,809

Confirmation No.: 8786

Filed: October 17, 2003

Art Unit: 1614

For:

INDOLYL-3-GLYOXYLIC ACID

**DERIVATIVES HAVING** 

THERAPEUTICALLY VALUABLE

**PROPERTIES** 

Examiner: J. D. Anderson

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (SIDS)

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Supplemental Information Disclosure Statement is filed more than three months after the U.S. filing date, OR more than three months after the date of entry of the national stage of a PCT application, AND after the mailing date of the first Office Action on the merits, whichever occurs first, but before the mailing date of a Final Office Action or Notice of Allowance (37 CFR 1.97(c)).

Application No.: 10/686,809 Docket No.: ZIPH-009-102

In accordance with 37 CFR 1.98(a)(2)(ii), Applicant has not submitted copies of U.S. patents and U.S. patent applications. Applicant submits herewith copies of non-patent literature documents in accordance with 37 CFR 1.98(a)(2).

In accordance with 37 CFR 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Supplemental Information Disclosure Statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Supplemental Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

Please charge our Deposit Account No. 18-1945 in the amount of \$180.00 covering the fee set forth in 37 CFR 1.17(p). The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 18-1945, under Order No. ZIPH-009-102. A duplicate copy of this paper is enclosed.

Dated: July 21, 2008

Respectfully submitted,

David P. Halstead, J.D., Ph.D.

Registration No.: 44,735

ROPES & GRAY LLP

One International Place

Boston, Massachusetts 02110

(617) 951-7000

(617) 951-7050 (Fax)

Attorneys/Agents For Applicant